

Limit theory of restricted range approximations of complex-valued continuous functions

Xian-fa LUO¹ & Chong LI^{2†}

¹ School of Mathematics and Computer Science, Jishou University, Jishou 416000, China;

² Department of Mathematics, Zhejiang University, Hangzhou 310027, China

(email: luoxianfaseu@163.com, cli@zju.edu.cn)

Abstract This paper is concerned with the problem of the best restricted range approximations of complex-valued continuous functions. Several properties for the approximating set \mathcal{P}_Ω such that the classical characterization results and/or the uniqueness results of the best approximations hold are introduced. Under the very mild conditions, we prove that these properties are equivalent that \mathcal{P} is a Haar subspace.

Keywords: restricted range approximation, Haar subspace, characterization, uniqueness

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1 Introduction

Recent attention is focused on the problem of the best restricted range approximations in the space of complex-valued continuous functions. The setting is as follows. Let Q be a compact metric space and let $C(Q)$ denote the Banach space of all complex-valued continuous functions on Q endowed with the uniform norm:

$$\|f\| = \max_{t \in Q} |f(t)|, \quad \forall f \in C(Q).$$

Let $\{\Omega_t : t \in Q\}$ be a family of nonempty closed convex sets in the complex plane \mathbb{C} and let \mathcal{P} be a finite dimensional subspace of $C(Q)$. Set $\mathcal{P}_\Omega = \{p \in \mathcal{P} : p(t) \in \Omega_t, \forall t \in Q\}$. Then, for a given $f \in C(Q)$, an element p^* of \mathcal{P}_Ω is called a best (restricted range) approximation to f from \mathcal{P}_Ω if and only if $\|f - p^*\| = d(f, \mathcal{P}_\Omega)$, where $d(f, \mathcal{P}_\Omega)$ is defined by $d(f, \mathcal{P}_\Omega) = \inf_{p \in \mathcal{P}_\Omega} \|f - p\|$. This problem was first introduced and formulated by Smirnov and Smirnov in [1, 2], where the characterization theorems and the uniqueness theorems of the best restricted range approximation were obtained under the special case when $\{\Omega_t : t \in Q\}$ is a system of closed disks with centers and radii continuously depending on t , and \mathcal{P} is a Haar subspace. These results were extended to some more general cases in [3–5]. In particular, this problem was considered in [6] for a very general case when the strong interior-point condition and the lower semicontinuity of the set-valued function $t \mapsto \Omega_t$ on Q are assumed.

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[†]Corresponding author

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The interest of the present paper is in the converse problem, that is, characterizing the approximating set \mathcal{P}_Ω for which the corresponding characterization and/or the uniqueness theorems hold. A similar problem in the simple case for uniqueness was also considered in [4]. In the present paper, making use of the introduced new notion of an extremal bi-support, we define several properties such as \mathcal{C} , $\underline{\mathcal{C}}$, \mathcal{C}_i , $\underline{\mathcal{C}}_i$, \mathcal{C}^* and $\underline{\mathcal{C}}^*$ as well as \mathcal{U} and \mathcal{U}_i . The characterization results for the approximating set \mathcal{P}_Ω to have these properties are provided, respectively.

The paper is motivated by the ideas in [7, 8] where similar properties were introduced and characterized respectively for the non-restricted and restricted range approximations of real-valued continuous functions by means of the notion of an alternation. However, this problem for the case of complex-valued continuous function approximations is more difficult than that for the case of real-valued continuous function approximations because the characterization of the alternation type, which is a powerful tool and plays a key role in the case of real-valued continuous function approximations, is invalid in the case of complex-valued continuous function approximations. In fact, the technique used in the present paper is completely different from that in [7, 8].

2 Notions and preliminary results

In this paper, we use \mathbb{C} to denote the complex plane and $\mathbf{B}(z_0, \delta)$ the open ball of \mathbb{C} with a center z_0 and a radius δ . Let Z be a closed subset of \mathbb{C} . The interior (resp. boundary, convex hull) of Z is denoted by $\text{int}Z$ (resp. $\text{bd}Z$, $\text{co}Z$). The normal cone of Z at z_0 is denoted by $N_Z(z_0)$ and defined by $N_Z(z_0) = \{\tau \in \mathbb{C} : \text{Re}\bar{\tau}(z - z_0) \leq 0, \forall z \in Z\}$. The distance from z_0 to Z is denoted by $d(z_0, Z)$ and defined by $d(z_0, Z) = \inf\{|z_0 - z| : z \in Z\}$.

One basic assumption in the study of the restricted range approximation problem of complex-valued continuous functions is that \mathcal{P}_Ω has an interior point or a strong interior point which are defined as follows, see [1–6] for details.

Definition 2.1. *A point $\bar{p} \in \mathcal{P}_\Omega$ is called*

(i) *An interior point of \mathcal{P}_Ω if*

$$\bar{p}(t) \in \text{int}\Omega_t, \quad \forall t \in Q; \tag{2.1}$$

(ii) *A strong interior point of \mathcal{P}_Ω if there exists a positive number δ such that*

$$\mathbf{B}(\bar{p}(t), \delta) \subseteq \Omega_t, \quad \forall t \in Q. \tag{2.2}$$

Moreover, \mathcal{P}_Ω is said to satisfy the interior-point (resp. strong interior-point) condition if there exists $\bar{p} \in \mathcal{P}_\Omega$ such that (2.1) (resp. (2.2)) holds.

Clearly, a strong interior point is an interior point. Proposition 2.1 below shows that the converse is true provided that the set-valued function $\Omega : t \rightarrow \Omega_t$ is lower semicontinuous on Q , which is defined as follows (cf. [9]).

Definition 2.2. *Let $\Omega : t \mapsto \Omega_t$ be a set-valued function defined on Q . Then Ω is said to be*

(i) *Lower semicontinuous at $t_0 \in Q$ if, for any $z_0 \in \Omega_{t_0}$ and any $\epsilon > 0$, there exists an open neighborhood $U(t_0)$ of t_0 such that $\Omega_t \cap B(z_0, \epsilon) \neq \emptyset$ for all $t \in U(t_0)$;*

(ii) *Lower semicontinuous on Q if it is lower semicontinuous at each point $t_0 \in Q$.*

Proposition 2.1. *Suppose that the set-valued function $\Omega : t \mapsto \Omega_t$ is lower semicontinuous on Q . Let $\bar{p} \in \mathcal{P}_\Omega$. Then \bar{p} is an interior point of \mathcal{P}_Ω if and only if it is a strong interior point of \mathcal{P}_Ω .*

Proof. We only need to prove the necessity part. Suppose that \bar{p} is an interior point of \mathcal{P}_Ω but not a strong interior point of \mathcal{P}_Ω . Then, for every positive integer n , there exists $t_n \in Q$ such that $\mathbf{B}(\bar{p}(t_n), \frac{1}{n}) \not\subseteq \Omega_{t_n}$. Pick $z_n \in \mathbf{B}(\bar{p}(t_n), \frac{1}{n}) \setminus \Omega_{t_n}$. Then $|z_n - \bar{p}(t_n)| < \frac{1}{n}$. Without loss of generality, we may assume $t_n \rightarrow t_0$. Then $\bar{p}(t_n) \rightarrow \bar{p}(t_0)$ and $z_n \rightarrow \bar{p}(t_0) \in \Omega_{t_0}$. By the assumption, $\mathbf{B}(\bar{p}(t_0), \delta) \subseteq \Omega_{t_0}$ for some $\delta > 0$. Let $0 < \epsilon < \frac{1}{4}\delta$. Then there exists a natural number N_1 such that

$$|\bar{p}(t_n) - \bar{p}(t_0)| < \frac{1}{4}\delta \quad \text{and} \quad |z_n - \bar{p}(t_0)| < \epsilon, \quad \forall n > N_1. \tag{2.3}$$

For each $n > N_1$, define

$$x_n = \bar{p}(t_n) + \lambda_n(z_n - \bar{p}(t_n)) \tag{2.4}$$

and

$$y_n = \bar{p}(t_n) + \mu_n(z_n - \bar{p}(t_n))\mathbf{i}, \tag{2.5}$$

where $\mathbf{i} = \sqrt{-1}$. Choose $\lambda_n > 0$ and $\mu_n > 0$ such that

$$|x_n - \bar{p}(t_0)| = |y_n - \bar{p}(t_0)| = \frac{3}{4}\delta. \tag{2.6}$$

Then, it follows from (2.3) and (2.6) that $\lambda_n > 1$ and $\mu_n > 1$. Without loss of generality, we may assume that $x_n \rightarrow w_1$ and $y_n \rightarrow w_2$. Then by (2.6) one has that

$$|w_1 - \bar{p}(t_0)| = |w_2 - \bar{p}(t_0)| = \frac{3}{4}\delta. \tag{2.7}$$

Since

$$y_n - \bar{p}(t_n) = \frac{\mu_n}{\lambda_n}(x_n - \bar{p}(t_n))\mathbf{i}, \tag{2.8}$$

it follows from (2.8) that $\frac{\mu_n}{\lambda_n} \rightarrow 1$ and

$$w_2 - \bar{p}(t_0) = (w_1 - \bar{p}(t_0))\mathbf{i}. \tag{2.9}$$

Set $u_n = 2\bar{p}(t_n) - x_n$, $v_n = 2\bar{p}(t_n) - y_n$. Then $u_n \rightarrow w_3 := 2\bar{p}(t_0) - w_1$ and $v_n \rightarrow w_4 := 2\bar{p}(t_0) - w_2$. Clearly,

$$w_3 - \bar{p}(t_0) = -(w_1 - \bar{p}(t_0)), \quad w_4 - \bar{p}(t_0) = -(w_1 - \bar{p}(t_0))\mathbf{i}, \tag{2.10}$$

where the second equality is by (2.9). Furthermore, by (2.7) and (2.10),

$$|w_3 - \bar{p}(t_0)| = |w_4 - \bar{p}(t_0)| = \frac{3}{4}\delta. \tag{2.11}$$

Note that, by (2.7), (2.9), (2.10) and (2.11), $\text{co}\{w_k\}_{k=1}^4$ is a square with the center $\bar{p}(t_0)$ and the length of each side $\frac{3}{4}\sqrt{2}\delta$. Hence, there exists $\epsilon > 0$ such that, for any set $\{w'_k\}_{k=1}^4$ with $w'_k \in \mathbf{B}(w_k, \epsilon)$ for $k = 1, \dots, 4$,

$$\mathbf{B}(\bar{p}(t_0), \epsilon) \subseteq \text{co}\{w'_k\}_{k=1}^4. \tag{2.12}$$

Since $\mathbf{B}(\bar{p}(t_0), \delta) \subseteq \Omega_{t_0}$, it follows from (2.7) and (2.11) that

$$w_k \in \mathbf{B}\left(w_k, \frac{1}{4}\delta\right) \subseteq \Omega_{t_0} \quad \forall k = 1, \dots, 4.$$

As the set-valued function $t \rightarrow \Omega_t$ is lower semicontinuous at t_0 , there exists natural a number $N_2 > N_1$ such that for any $n > N_2$, $\mathbf{B}(w_k, \epsilon) \cap \Omega_{t_n} \neq \emptyset$ for each $k = 1, \dots, 4$. Take $w'_k \in \mathbf{B}(w_k, \epsilon) \cap \Omega_{t_n}$ ($\forall k = 1, \dots, 4$). Then, (2.12) and the fact that Ω_{t_n} is convex,

$$\mathbf{B}(\bar{p}(t_0), \epsilon) \subseteq \text{co}\{w'_k\}_{k=1}^4 \subseteq \Omega_{t_n}. \tag{2.13}$$

Thanks to Combining (2.13) and (2.3) implies that $z_n \in \Omega_{t_n}$, which contradicts the choice of z_n . Hence \bar{p} is a strong interior point of \mathcal{P}_Ω and the proof is complete.

Throughout the whole paper, we always assume that $\{\Omega_t\}$ satisfies the following Hypotheses.

Hypothesis 1. *The set-valued function $t \mapsto \Omega_t$ is lower semicontinuous on Q .*

Hypothesis 2. *\mathcal{P}_Ω has an interior point \bar{p} .*

Let $f \in C(Q)$ and $p^* \in \mathcal{P}_\Omega$. Following [3, 6], set

$$M(f - p^*) = \{t \in Q : |f(t) - p(t)| = \|f - p^*\|\}, \quad B(p^*) = \{t \in Q : p^*(t) \in \text{bd}\Omega_t\}.$$

Moreover, define

$$\sigma(p^*, t) = \text{sign}(f(t) - p^*(t)), \quad \forall t \in Q \tag{2.14}$$

and

$$\tau(p^*, t) = \{\bar{\tau} : \tau \in -N_{\Omega_t}(p^*(t)) \setminus 0\}, \quad \forall t \in Q, \tag{2.15}$$

where $\text{sign}z = \bar{z}/|z|$ if $z \neq 0$ and 0 if $z = 0$. Note that $\tau(p^*, t) \neq \emptyset$ since $N_{\Omega_t}(p^*(t)) \neq \{0\}$ if $t \in B(p^*)$, and that, for each $t \in B(p^*)$, $\tau \in \tau(p^*, t)$ if and only if $\bar{\tau} \in -N_{\Omega_t}(p^*(t)) \setminus \{0\}$.

The following two classes of admissible functions were introduced by Smirnov and Smirnov in [2, 4] respectively for the study of the uniqueness problem of the best restricted range approximation of complex-valued continuous functions.

Definition 2.4. *A function $f \in C(Q)$ is called*

(i) *Admissible of type I if*

$$f(t) \in \Omega_t, \quad \forall t \in Q \tag{2.16}$$

or there exists a best approximation p^ to f from \mathcal{P}_Ω such that*

$$M(f - p^*) \cap B(p^*) = \emptyset; \tag{2.17}$$

(ii) *Admissible of type II if $f \in \mathcal{P}_\Omega$ or*

$$d(f, \mathcal{P}_\Omega) > \sup_{t \in Q} d(f(t), \Omega_t). \tag{2.18}$$

The set of all admissible functions of type I (resp. II) is denoted by $C_a^1(Q)$ (resp. $C_a^2(Q)$).

Below we will prove that $C_a^1(Q)$ is contained in $C_a^2(Q)$. For this end, we first give two lemmas.

Lemma 2.1. *Let F be the function on Q defined by $F(t) = d(f(t), \Omega_t)$ for each $t \in Q$. Then F is upper semicontinuous on Q .*

Proof. Let $t_0 \in Q$. Then there exists $z_0 \in \Omega_{t_0}$ such that $F(t_0) = |f(t_0) - z_0|$. Let $\epsilon > 0$. Since f is continuous at t_0 , it follows from Hypothesis 1 that there exists an open neighborhood $U(t_0)$ of t_0 such that $\Omega_t \cap \mathbf{B}(z_0, \frac{\epsilon}{2}) \neq \emptyset$ and $|f(t) - f(t_0)| < \frac{\epsilon}{2}$ for all $t \in U(t_0)$. Thus, for each $t \in U(t_0)$ and $z_t \in \Omega_t \cap \mathbf{B}(z_0, \frac{\epsilon}{2})$, we have that

$$F(t) \leq |f(t) - z_t| \leq |f(t) - f(t_0)| + |f(t_0) - z_0| + |z_0 - z_t| < F(t_0) + \epsilon.$$

This shows that $F(t)$ is upper semicontinuous at t_0 since $\epsilon > 0$ is arbitrary.

Lemma 2.2. $f \in C_a^2(Q) \setminus \mathcal{P}_\Omega$ if and only if there exists a best approximation p^* to f from \mathcal{P}_Ω such that

$$\|f - p^*\| > d(f(t), \Omega_t), \quad \forall t \in M(f - p^*). \tag{2.19}$$

Proof. It suffices to prove the “if” part since the proof of the “only if” part is trivial. Suppose that there exists a best approximation p^* to f from \mathcal{P}_Ω such that (2.19) holds. Noting that the function $t \mapsto d(f(t), \Omega_t)$ is upper semicontinuous on Q by Lemma 2.1, one has that

$$d(f, \mathcal{P}_\Omega) = \|f - p^*\| > \max\{d(f(t), \Omega_t) : t \in M(f - p^*)\} \tag{2.20}$$

since $M(f - p^*)$ is compact. Consequently, there exists an open set $Q_1 \subseteq Q$ with $Q_1 \supseteq M(f - p^*)$ such that

$$d(f, \mathcal{P}_\Omega) > \sup_{t \in Q_1} d(f(t), \Omega_t). \tag{2.21}$$

Let $Q_2 = Q \setminus Q_1$. Then, for each $t \in Q_2$, one has $t \notin M(f - p^*)$. It follows that

$$\|f - p^*\| > |f(t) - p^*(t)| \geq d(f(t), \Omega_t), \quad \forall t \in Q_2.$$

Since Q_2 is compact and the function $t \mapsto d(f(t), \Omega_t)$ is upper semicontinuous by Lemma 2.1, we have that $d(f, \mathcal{P}_\Omega) > \max_{t \in Q_2} d(f(t), \Omega_t)$. This together with (2.21) gives (2.18) and so $f \in C_a^2(Q) \setminus \mathcal{P}_\Omega$.

Proposition 2.2. $C_a^1(Q) \subseteq C_a^2(Q)$.

Proof. Let $f \in C_a^1(Q)$. Then (2.16) or (2.17) holds. Without loss of generality, we may assume that $f \notin \mathcal{P}_\Omega$. Hence $d(f, \mathcal{P}_\Omega) > 0$. Thus, to complete the proof, it suffices to show (2.19). Since (2.19) is clear in the case when (2.16) holds, it remains to show (2.19) in the case when (2.17) holds. Let $t \in M(f - p^*)$. Then $t \notin B(p^*)$ by (2.17); hence $p^*(t) \in \text{int}\Omega_t$, which implies that $|f(t) - p^*(t)| > d(f(t), \Omega_t)$. Consequently, $\|f - p^*\| = |f(t) - p^*(t)| > d(f(t), \Omega_t), \forall t \in M(f - p^*)$, that is, (2.19) holds.

Remark 2.1. In general, $C_a^1(Q) \neq C_a^2(Q)$ as shown by the following

Example 2.1. Let $Q = \{-1, 0, 1\}$, $\Omega_{-1} = \Omega_1 = \{z : \text{Re} z \geq 1\}$ and $\Omega_0 = \mathbb{C}$. Let $\mathcal{P} = \text{span}\{1, t\}$. Then $\mathcal{P}_\Omega = \{p : p(t) = a + bt, \text{Re}(a + b) \geq 1, \text{Re}(a - b) \geq 1\}$. Now, define $f \in C(Q)$ by $f(-1) = 1/2, f(0) = 0$ and $f(1) = 2$. Then $d(f, \mathcal{P}_\Omega) = 1$. In fact, for each $p(t) = a + bt \in \mathcal{P}_\Omega$, we have

$$\text{Re}(a + b) \geq 1, \quad \text{Re}(a - b) \geq 1; \tag{2.22}$$

hence $\text{Re} a \geq 1$ and

$$\|f - p\| \geq |f(0) - p(0)| = |a| \geq \text{Re} a \geq 1. \tag{2.23}$$

Set $p^* = 1$. Then $p^* \in \mathcal{P}_\Omega$ and $\|f - p^*\| = 1$. This together with (2.23) implies that $d(f, \mathcal{P}_\Omega) = 1$ and p^* is a best approximation to f from \mathcal{P}_Ω . Moreover, we have that $f \in C_a^2(Q)$ because

$$d(f, \mathcal{P}_\Omega) = 1 > \frac{1}{2} = \sup_{t \in Q} d(f(t), \Omega_t).$$

However $f \notin C_a^1(Q)$. In fact, note that (2.16) does not hold and $M(f - p^*) \cap B(p^*) = \{1\}$. It suffices to prove that p^* is a unique best approximation to f from \mathcal{P}_Ω . For this purpose, let $p \in \mathcal{P}_\Omega$ satisfy $\|f - p\| = 1$. Assume that $p(t) = a + bt$. Then $a = 1$ by (2.23) and so $\text{Re}b = 0$ by (2.22). Thus

$$1 = \|f - p\| \geq |f(1) - p(1)| = |b - 1| = \sqrt{1 + (\text{Im } b)^2} \geq 1.$$

This yields $\text{Im } b = 0$, and hence $p = p^* = 1$.

Let

$$\tilde{M}(p^*) = \{t \in M(f - p^*) \cap B(p^*) : \overline{\sigma(p^*, t)} \in N_{\Omega_t}(p^*(t))\}.$$

The following proposition shows that the elements of $C_a^2(Q)$ can be characterized by the emptiness property of the set $\tilde{M}(p^*)$.

Proposition 2.3. *Let $f \in C(Q) \setminus \mathcal{P}_\Omega$. Then the following statements are equivalent:*

- (i) $f \in C_a^2(Q)$;
- (ii) $\tilde{M}(p^*) = \emptyset$ for any best approximation p^* to f from \mathcal{P}_Ω ;
- (iii) $\tilde{M}(p^*) = \emptyset$ for some best approximation p^* to f from \mathcal{P}_Ω .

Proof. (i) \implies (ii). Let $f \in C_a^2(Q) \setminus \mathcal{P}_\Omega$. Then (2.18) holds. Suppose on the contrary that $\tilde{M}(p^*) \neq \emptyset$ for some best approximation p^* to f from \mathcal{P}_Ω . Let $t \in \tilde{M}(p^*)$. Then $\|f - p^*\| = |f(t) - p^*(t)|$ and $\overline{\sigma(p^*, t)} \in N_{\Omega_t}(p^*(t))$. Thus, for any $z \in \Omega_t$, we have that

$$\begin{aligned} d(f, \mathcal{P}_\Omega) &= \|f - p^*\| = \text{Re}\sigma(p^*, t)(z - p^*(t)) + \text{Re}\sigma(p^*, t)(f(t) - z) \\ &\leq \text{Re}\sigma(p^*, t)(f(t) - z) \leq |f(t) - z|. \end{aligned}$$

This implies that $d(f, \mathcal{P}_\Omega) \leq d(f(t), \Omega_t) \leq \sup_{t \in Q} d(f(t), \Omega_t)$, which contradicts (2.18). Thus the implication (i) \implies (ii) is proved.

(ii) \implies (iii). It is trivial.

(iii) \implies (i). Suppose that (iii) holds. By Lemma 2.2, we only need to verify that $d(f, \mathcal{P}_\Omega) > d(f(t), \Omega_t)$ for each $t \in M(f - p^*)$. To do this, suppose on the contrary that there exists some $t_0 \in M(f - p^*)$ such that $d(f, \mathcal{P}_\Omega) \leq d(f(t_0), \Omega_{t_0})$. Then $|f(t_0) - p^*(t_0)| = \|f - p^*\| \leq d(f(t_0), \Omega_{t_0})$ so that $p^*(t_0)$ is a best approximation to $f(t_0)$ from Ω_{t_0} since $p^*(t_0) \in \Omega_{t_0}$. Thus $f(t_0) - p^*(t_0) \in N_{\Omega_{t_0}}(p^*(t_0))$ by [10, Theorem 2.1, p. 6]. In particular, $p^*(t_0) \in \text{bd}\Omega_{t_0}$. Furthermore, $\overline{\sigma(p^*, t_0)} \in N_{\Omega_{t_0}}(p^*(t_0))$. Hence $t_0 \in \tilde{M}(p^*)$. The proof is complete.

Recall that the notion of the Chebeshev alternation plays an important role in characterizing the best approximations of real-valued continuous functions on a bounded interval $[a, b]$, see for example [7, 8]. Unfortunately, this notion does not make sense for the approximations of complex-valued continuous functions. Motivated by the equivalent relationship between the Chebeshev alternation and the extremal signature in a real case, we extend the notion of the extremal support to study the problem of the best approximations of complex-valued continuous functions. We first recall the notion of the extremal signature, see for exampl [11, 12].

Let $A \subseteq Q$ be a finite subset and σ a function defined on Q . σ is said to have the finite support A if $\sigma(t) \neq 0$ for each $t \in A$ and 0 for each $t \in Q \setminus A$. Furthermore, σ is said to be a signature with the support A if $|\sigma(t)| = 1$ for each $t \in A$. Then, σ is said to be an extremal signature, if there exists a function μ with the support A such that $\text{sign } \mu(t) = \sigma(t)$ for each $t \in A$ and $\sum_{t \in A} p(t) \overline{\mu(t)} = 0$ for each $p \in \mathcal{P}$. The following definition is an extension of this notion.

Definition 2.5. Let (A, B) be a pair of finite subsets of Q . Let σ and τ be the functions defined on Q . Then

(i) (σ, τ) is called a bi-signature with the support (A, B) if σ and τ are the signatures with the supports A and B , respectively;

(ii) A bi-signature (σ, τ) with the support (A, B) is called an extremal bi-signature if there exist two functions μ and ν on Q such that

$$(\text{sign } \mu(t_1), \text{sign } \nu(t_2)) = (\sigma(t_1), \tau(t_2)), \quad \forall (t_1, t_2) \in A \times B \tag{2.24}$$

and

$$\sum_{t \in A} p(t) \overline{\mu(t)} + \sum_{t \in B} p(t) \overline{\nu(t)} = 0, \quad \forall p \in \mathcal{P}. \tag{2.25}$$

We still require the notion of the extremal bi-support with respect to (f, p^*) .

Definition 2.6. Let A and B be the finite subsets of Q and $A \cup B \neq \emptyset$. (A, B) is said to be an extremal bi-support with respect to (f, p^*) if $A \subseteq M(f - p^*)$, $B \subseteq B(p^*)$ and there exists an extremal bi-signature (σ, τ) with the support (A, B) such that

$$(\sigma(t_1), \tau(t_2)) \in (\sigma(p^*, t_1), \tau(p^*, t_2)), \quad \forall (t_1, t_2) \in A \times B. \tag{2.26}$$

It is clear that if (A, B) is an extremal bi-support with respect to (f, p^*) , then $A \neq \emptyset$ by the interior-point condition. Thus, using Proposition 2.1 and [6, Theorem 5.1] (noting that the strong interior-point condition mentioned there is satisfied, thanks to Hypothesis 2), we obtain the following characterization theorem of the best restricted range approximation in view of an extremal bi-signature.

Theorem 2.1. Let $f \in C(Q) \setminus \mathcal{P}_\Omega$ and $p^* \in \mathcal{P}_\Omega$. Then p^* is a best restricted range approximation to f from \mathcal{P}_Ω if and only if there exists an extremal bi-support with respect to (f, p^*) .

In particular, when \mathcal{P} is a Haar subspace, Theorem 2.1 can be improved to Theorem 2.2 below. Recall that an n -dimensional subspace $\mathcal{P} \subseteq C(Q)$ is called a Haar subspace if every element $p \in \mathcal{P} \setminus \{0\}$ has at most $n - 1$ zeros in Q .

Theorem 2.2. Let $f \in C(Q) \setminus \mathcal{P}_\Omega$ and $p^* \in \mathcal{P}_\Omega$. If \mathcal{P} is a Haar subspace, then the following statements are equivalent:

- (i) p^* is a best restricted range approximation to f from \mathcal{P}_Ω ;
- (ii) Either $\tilde{M}(p^*) \neq \emptyset$ or any extremal bi-support (A, B) with respect to (f, p^*) satisfies $|A \cup B| \geq n + 1$;
- (iii) Either $\tilde{M}(p^*) \neq \emptyset$ or there exists an extremal bi-support (A, B) with respect to (f, p^*) satisfying $|A \cup B| \geq n + 1$.

Proof. (i) \implies (ii) Suppose that p^* is a best approximation to f from \mathcal{P}_Ω and $\tilde{M}(p^*) = \emptyset$. Suppose on the contrary that there exists an extremal bi-support (A, B) with respect to (f, p^*) such that $|A \cup B| \leq n$. In view of Definition 2.6, we have that $A \subseteq M(f - p^*)$, $B \subseteq B(p^*)$ and there exists an extremal bi-signature (σ, τ) with the support (A, B) such that (2.26) holds. Thus, by Definition 2.5, there are two functions μ and ν on Q such that (2.24) and (2.25) hold. It follows from (2.24) and (2.26) that

$$(\text{sign } \mu(t_1), \text{sign } \nu(t_2)) \in (\sigma(p^*, t_1), \tau(p^*, t_2)), \quad \forall (t_1, t_2) \in (A, B). \tag{2.27}$$

Also from (2.25), we have that

$$\sum_{t \in A \cap B} p(t) \overline{\mu(t) + \nu(t)} + \sum_{t \in A \setminus B} p(t) \overline{\mu(t)} + \sum_{t \in B \setminus A} p(t) \overline{\nu(t)} = 0, \quad \forall p \in \mathcal{P}. \tag{2.28}$$

Noting that \mathcal{P} is a Haar subspace and that $|A \cap B| + |A \setminus B| + |B \setminus A| \leq n$, we may pick $p_1 \in \mathcal{P}$ such that

$$p_1(t) = \mu(t) + \nu(t), \quad \forall t \in A \cap B, \tag{2.29}$$

$$p_1(t) = \mu(t), \quad \forall t \in A \setminus B, \tag{2.30}$$

$$p_1(t) = \nu(t), \quad \forall t \in B \setminus A. \tag{2.31}$$

Since $\tilde{M}(p^*) = \emptyset$, it follows that $p_1(t) \neq 0$ for each $t \in A \cap B$. In fact, suppose on the contrary that $p_1(t_1) = 0$ for some $t_1 \in A \cap B$. Then $\mu(t_1) + \nu(t_1) = 0$. Note that $\text{sign } \mu(t_1) = \sigma(p^*, t_1)$ and $\overline{\text{sign } \nu(t_1)} \in -N_{\Omega_{t_1}}(p^*(t_1))$ by (2.27). We have that

$$\overline{\sigma(p^*, t_1)} = \overline{\text{sign } \mu(t_1)} = -\overline{\text{sign } \nu(t_1)} \in N_{\Omega_{t_1}}(p^*(t_1)),$$

so that $t_1 \in \tilde{M}(p^*)$, which is a contradiction. Thus, (2.28) is not true for $p = p_1$. The implication (i) \implies (ii) is proved.

(ii) \implies (iii) It is trivial.

(iii) \implies (i) Suppose that (iii) holds. Then (i) holds by Theorem 2.1 in the case when $\tilde{M}(p^*) = \emptyset$. It remains to prove (i) in the case when $\tilde{M}(p^*) \neq \emptyset$. Take $t_2 \in \tilde{M}(p^*)$. Then $t_2 \in M(f - p^*) \cap B(p^*)$ and $\overline{\sigma(p^*, t_2)} \in N_{\Omega_{t_2}}(p^*(t_2))$. Let $A = B = \{t_2\}$. Define $\mu(t_2) = \overline{\sigma(p^*, t_2)}$ and $\nu(t_2) = -\overline{\sigma(p^*, t_2)}$. Then $\text{sign } \mu(t_2) = \sigma(p^*, t_2)$, $\text{sign } \nu(t_2) = -\sigma(p^*, t_2) \in \tau(p^*, t_2)$ and $p(t_2) \overline{\mu(t_2)} + p(t_2) \overline{\nu(t_2)} = 0, \forall p \in \mathcal{P}$. This means that (A, B) is an extremal bi-support with respect to (f, p^*) , and hence p^* is a best approximation to f from \mathcal{P}_Ω by Theorem 2.1. The proof is complete.

3 The limit theory for characterizations

We begin with some additional notions which will be used in this section.

Definition 3.1. \mathcal{P}_Ω is said to have Property \mathcal{C} (resp. $\underline{\mathcal{C}}$) if, for any $f \in C(Q) \setminus \mathcal{P}_\Omega$, p^* is a best approximation to f from \mathcal{P}_Ω if and only if any (resp. at least one) extremal bi-support (A, B) with respect to (f, p^*) satisfies $|A \cup B| \geq n + 1$.

Remark 3.1. Clearly Property \mathcal{C} implies Property $\underline{\mathcal{C}}$, but the converse is not true, in general, as shown by the following

Example 3.1. Let $Q = \{-1, 1\}$, $\Omega_{-1} = \{z \in \mathbb{C} : |z + 1| \leq 1\}$ and $\Omega_1 = \{z \in \mathbb{C} : |z - 1| \leq 1\}$. Let $\bar{p} \in C(Q)$ be defined by $\bar{p}(t) = t$ for each $t \in Q$ and let $\mathcal{P} = \{p : p = a\bar{p}, a \in \mathbb{C}\}$. Then \mathcal{P} is a 1-dimensional Haar subspace, and $\mathcal{P}_\Omega = \{p : p(t) = at, |a - 1| \leq 1\}$. Hence Hypotheses 1 and 2 in the previous section are satisfied (noting that \bar{p} is an interior point of \mathcal{P}_Ω). Let $f \in C(Q) \setminus \mathcal{P}_\Omega$, and let p^* be a best approximation to f from \mathcal{P}_Ω . We claim that there exists an extremal bi-support (A, B) with respect to (f, p^*) such that $|A \cup B| \geq n + 1$. Note that the claim holds by Theorem 2.2 in the case when $\tilde{M}(p^*) = \emptyset$. It remains to consider the case when $\tilde{M}(p^*) \neq \emptyset$. Without loss of generality, we may assume that $1 \in \tilde{M}(p^*)$. Then $1 \in M(f - p^*) \cap B(p^*)$ and $\overline{\sigma(p^*, 1)} \in N_{\Omega_1}(p^*(1))$. Therefore, $-1 \in B(p^*)$. Let $A = \{1\}$, $B = \{1, -1\}$. Then $A \subseteq M(f - p^*)$ and $B \subseteq B(p^*)$. Thus to complete the proof of the claim, it suffices to verify that (A, B) is an extremal bi-support with respect to (f, p^*) . To do this, define

$$\mu_A(1) = \overline{2\sigma(p^*, 1)}, \quad \nu_B(1) = -\overline{\sigma(p^*, 1)}, \quad \nu_B(-1) = \overline{\sigma(p^*, 1)}.$$

Then

$$p(1)\overline{\mu_A(1)} + p(1)\overline{\nu_B(1)} + p(-1)\overline{\nu_B(-1)} = 0, \quad \forall p \in \mathcal{P}. \tag{3.1}$$

Define

$$\sigma_A(t) = \begin{cases} \sigma(p^*, 1), & t = 1, \\ 0, & t \in Q \setminus \{1\} \end{cases}$$

and $\tau_B(t) = -t\sigma(p^*, 1), t \in Q = \{-1, 1\}$. Then

$$(\text{sign } \mu_A(t_1), \text{sign } \nu_B(t_2)) = (\sigma_A(t_1), \tau_B(t_2)), \quad \forall (t_1, t_2) \in A \times B.$$

This and (3.1) imply that (σ_A, τ_B) is an extremal bi-signature with the support (A, B) . Moreover, it is easy to see that

$$N_{\Omega_1}(p^*(1)) = \{\lambda \overline{\sigma(p^*, 1)} : \lambda \geq 0\}, \quad N_{\Omega_{-1}}(p^*(-1)) = \{-\lambda \overline{\sigma(p^*, 1)} : \lambda \geq 0\}.$$

Hence

$$\tau(p^*, 1) = \{-\lambda \sigma(p^*, 1) : \lambda > 0\}, \quad \tau(p^*, -1) = \{\lambda \sigma(p^*, 1) : \lambda > 0\},$$

which implies that

$$(\sigma_A(t_1), \tau_B(t_2)) \in (\sigma(p^*, t_1), \tau(p^*, t_2)), \forall (t_1, t_2) \in A \times B. \tag{3.2}$$

Thus the claim stands. Consequently, \mathcal{P}_Ω has Property $\underline{\mathcal{C}}$.

Below we will show that \mathcal{P}_Ω does not have Property \mathcal{C} . Define f by $f(t) = -t$ for each $t \in Q$. Then $f \in C(Q) \setminus \mathcal{P}_\Omega$. Furthermore, for any $p = a\bar{p} \in \mathcal{P}_\Omega$, one gets that $\text{Re } a \geq 0$ because $1 \geq |a - 1| \geq 1 - \text{Re } a$. Hence $\|f - p\| = |1 + a| \geq \text{Re}(1 + a) \geq 1 = \|f - q^*\|$ and $q^* = 0$ is a best approximation to f from \mathcal{P}_Ω . Let $A_1 = B_1 = \{1\}$. Then $A_1 \subseteq M(f - q^*)$ and $B_1 \subseteq B(q^*)$. Define $\mu_{A_1}(1) = \overline{\sigma(q^*, 1)}$ and $\nu_{B_1}(1) = -\overline{\sigma(q^*, 1)}$. Then $p(1)\overline{\mu_{A_1}(1)} + p(1)\overline{\nu_{B_1}(1)} = 0, \forall p \in \mathcal{P}$. This shows that (A_1, B_1) is an extremal bi-support with respect to (f, q^*) since $\text{sign } \mu_{A_1}(1) = \sigma(q^*, 1)$ and $\text{sign } \nu_{B_1}(1) \in \tau(q^*, 1)$. However, $|A \cup B| = 1 < n + 1$. In view of Definition 3.1, \mathcal{P}_Ω does not have Property \mathcal{C} .

Definition 3.2. \mathcal{P}_Ω is said to have Property \mathcal{C}_i (resp. $\underline{\mathcal{C}}_i$) if, for each $f \in C_a^i(Q) \setminus \mathcal{P}_\Omega$, p^* is a best approximation to f from \mathcal{P}_Ω if and only if any (resp. at least one) extremal bi-support (A, B) with respect to (f, p^*) satisfies $|A \cup B| \geq n + 1$ for $i = 1, 2$.

Definition 3.3. \mathcal{P}_Ω is said to have Property \mathcal{C}^* (resp. $\underline{\mathcal{C}}^*$) if, for each $f \in C(Q) \setminus \mathcal{P}_\Omega$, p^* is a best approximation to f from \mathcal{P}_Ω if and only if either $\tilde{M}(p^*) \neq \emptyset$ or any (resp. at least one) extremal bi-support (A, B) with respect to (f, p^*) satisfies $|A \cup B| \geq n + 1$.

Remark 3.2. (i) \mathcal{P}_Ω has Property \mathcal{C} (resp. $\underline{\mathcal{C}}$) $\implies \mathcal{P}_\Omega$ has Property \mathcal{C}_2 (resp. $\underline{\mathcal{C}}_2$) $\implies \mathcal{P}_\Omega$ has Property \mathcal{C}_1 (resp. $\underline{\mathcal{C}}_1$);

(ii) \mathcal{P}_Ω has Property \mathcal{C}^* (resp. $\underline{\mathcal{C}}^*$) $\implies \mathcal{P}_\Omega$ has Property \mathcal{C}_2 (resp. $\underline{\mathcal{C}}_2$) $\implies \mathcal{P}_\Omega$ has Property \mathcal{C}_1 (resp. $\underline{\mathcal{C}}_1$).

To establish the characterizations for \mathcal{P}_Ω to have Property \mathcal{C} (resp. \mathcal{C}_i , $\underline{\mathcal{C}}_i$, \mathcal{C}^* and $\underline{\mathcal{C}}^*$), we need to verify a lemma, which is also used in Sec. 4.

Lemma 3.1. Suppose that \mathcal{P} is not a Haar subspace. Then there exists $f \in C_a^1(Q) \setminus \mathcal{P}_\Omega$ which has the following properties:

- (i) There are at least two best approximations to f from \mathcal{P}_Ω ;
- (ii) There exists a best approximation p^* to f from \mathcal{P}_Ω such that $|M(f - p^*) \cup B(p^*)| \leq n$.

Proof. By the assumption, there exists $p_1 \in \mathcal{P} \setminus \{0\}$ such that $p_1(t)$ has n distinct zeros t_1, \dots, t_n in Q . Let $\{\phi_1, \dots, \phi_n\}$ be a basis of \mathcal{P} . Consider the following system of the equations with the unknown complex variable (c_1, \dots, c_n)

$$\sum_{k=1}^n c_k \phi_i(t_k) = 0, \quad i = 1, \dots, n. \tag{3.3}$$

Then (3.3) has a nonzero solution (c_1, \dots, c_n) because $\det(\phi_i(t_k))_{i,k=1}^n = 0$. Let $N = \{k : c_k \neq 0\}$. Then $N \neq \emptyset$. Since Q is a compact metric space, by the Tietze Extension Theorem, there exists $f_0 \in C(Q)$ such that

$$f_0(t_k) = \frac{\overline{c_k}}{|c_k|}, \quad \forall k \in N \tag{3.4}$$

and

$$|f_0(t)| < 1, \quad \forall t \in Q \setminus \{t_k : k \in N\}. \tag{3.5}$$

Recall that \bar{p} is an interior point of \mathcal{P}_Ω . Thus, by Proposition 2.1, there is a positive number δ such that

$$\mathbf{B}(\bar{p}(t), \delta) \subseteq \Omega_t, \quad \forall t \in Q. \tag{3.6}$$

Set $M = \max_{t \in Q} |p_1(t)|$. Define the function f_1 on Q by

$$f_1(t) = \left(1 - \frac{1}{M} |p_1(t)|\right) f_0(t), \quad \forall t \in Q$$

and set $f = \delta f_1 + \bar{p}$. Note that $|f(t) - \bar{p}(t)| \leq \delta$ for all $t \in Q$ by (3.4) and (3.5). Hence $f(t) \in \Omega_t$ for each $t \in Q$ by the closeness of Ω_t . Furthermore, we claim that $d(f, \mathcal{P}_\Omega) = \delta$ and \bar{p} is a best approximation to f from \mathcal{P}_Ω . In fact, for each $p \in \mathcal{P}_\Omega$, write $p - \bar{p} = \sum_{i=1}^n b_i \phi_i$. Then by (3.3) we have that

$$\operatorname{Re} \sum_{k=1}^n c_k [p(t_k) - \bar{p}(t_k)] = \operatorname{Re} \sum_{k=1}^n c_k \sum_{i=1}^n b_i \phi_i(t_k) = \operatorname{Re} \sum_{i=1}^n b_i \sum_{k=1}^n c_k \phi_i(t_k) = 0.$$

Thus there exists $k_0 \in N$ such that $\text{Rec}_{k_0}[p(t_{k_0}) - \overline{p}(t_{k_0})] \leq 0$. It follows that $\text{Re} \overline{f_1(t_{k_0})} [\overline{p}(t_{k_0}) - p(t_{k_0})] \geq 0$ due to (3.4). This implies that

$$\begin{aligned} \|f - p\|^2 &\geq |f(t_{k_0}) - p(t_{k_0})|^2 = |\delta f_1(t_{k_0}) + \overline{p}(t_{k_0}) - p(t_{k_0})|^2 \\ &= \delta^2 |f_1(t_{k_0})|^2 + 2\delta \text{Re} \overline{f_1(t_{k_0})} [\overline{p}(t_{k_0}) - p(t_{k_0})] + |\overline{p}(t_{k_0}) - p(t_{k_0})|^2 \\ &\geq \delta^2. \end{aligned}$$

Hence $d(f, \mathcal{P}_\Omega) \geq \delta$ and the claim stands because $\|f - \overline{p}\| \leq \delta \leq d(f, \mathcal{P}_\Omega)$. Consequently, $f \in C_a^1(Q) \setminus \mathcal{P}_\Omega$ since $B(\overline{p}) = \emptyset$ by (3.6). Below we will show that f has at least two best approximations from \mathcal{P}_Ω . To do this, define $p^\lambda = \frac{\lambda}{M} p_1 + \overline{p}, \forall \lambda \in [0, \delta)$. Then $|p^\lambda(t) - \overline{p}(t)| < \delta$ for each $t \in Q$ and so $p^\lambda \in \mathcal{P}_\Omega$ by (3.6). Moreover,

$$|f(t) - p^\lambda(t)| \leq \delta \left(1 - \frac{1}{M} |p_1(t)| \right) + \frac{\lambda}{M} |p_1(t)| = \delta - \frac{\delta - \lambda}{M} |p_1(t)| \leq \delta.$$

Hence $\|f - p^\lambda\| \leq \delta = d(f, \mathcal{P}_\Omega)$ and p^λ is a best approximation to f from \mathcal{P}_Ω for each $\lambda \in [0, \delta)$. Thus (i) holds. It remains to show that f satisfies (ii). To this end, let $p^* = \overline{p}$. Then (3.4), (3.5) and (3.6) imply that $M(f - p^*) = \{t_k : k \in N\}$ and $B(p^*) = \emptyset$. Therefore,

$$|M(f - p^*) \cup B(p^\lambda)| = |M(f - p^*)| = |N| \leq n,$$

and (ii) is proved. The proof is complete.

The first result of this section is as follows.

Theorem 3.1. *The following statements are equivalent:*

- (i) \mathcal{P} is a Haar subspace;
- (ii) \mathcal{P} has Property \mathcal{C}^* ;
- (iii) \mathcal{P} has Property $\underline{\mathcal{C}}^*$;
- (iv) \mathcal{P}_Ω has Property \mathcal{C}_2 ;
- (v) \mathcal{P}_Ω has Property \mathcal{C}_1 ;
- (vi) \mathcal{P}_Ω has Property $\underline{\mathcal{C}}_2$;
- (vii) \mathcal{P}_Ω has Property $\underline{\mathcal{C}}_1$.

Proof. By Theorem 2.2, Proposition 2.3 and Remark 3.2, the following implications hold:

$$\begin{array}{ccccccc} \text{(i)} & \implies & \text{(ii)} & \implies & \text{(iv)} & \implies & \text{(vi)} \\ & & \Downarrow & & \Downarrow & & \Downarrow \\ \text{(vii)} & \longleftarrow & \text{(iii)} & & \text{(v)} & \implies & \text{(vii)}. \end{array}$$

Thus, to complete the proof of Theorem 3.1, it suffices to prove the implication (vii) \implies (i).

Suppose on the contrary that (i) is not true. Then, by Lemma 3.1, there exist $f \in C_a^1(Q) \setminus \mathcal{P}_\Omega$ and a best approximation p^* to f from \mathcal{P}_Ω such that $|M(f - p^*) \cup B(p^*)| \leq n$. Thus if (A, B) is an extremal bi-support with respect to (f, p^*) then $|A \cup B| \leq n$. Hence \mathcal{P}_Ω does not have Property $\underline{\mathcal{C}}_1$. The proof is complete.

Remark 3.3. By Remark 3.2 (i) and Theorem 3.1, \mathcal{P}_Ω has Property $\underline{\mathcal{C}}$ such that \mathcal{P} is a Haar subspace. However, the converse is not true, in general, as illustrated in the following.

Example 3.2. Let $Q = \{-1, 0, 1\}$ and let $\Omega_{-1} = \Omega_0 = \Omega_1 = \{z : |z| \leq 1\}$. Let $\mathcal{P} = \{p : p(t) = a + bt, a, b \in \mathbb{C}\}$. Then \mathcal{P} is a Haar subspace and

$$\mathcal{P}_\Omega = \{p : p(t) = a + bt, |a| \leq 1, |a - b| \leq 1, |a + b| \leq 1\}.$$

Clearly Hypotheses 1 and 2 in sec. 2 are satisfied. To verify that \mathcal{P}_Ω does not have Property $\underline{\mathcal{C}}$, define f by $f(0) = 1, f(-1) = 0$ and $f(1) = 2 + 2i$. Then, for each $p \in \mathcal{P}_\Omega$, assuming $p(t) = a + bt$, one has that

$$\begin{aligned} \|f - p\| &= \max\{|1 - a|, |a - b|, |2 + 2i - (a + b)|\} \\ &\geq |2 + 2i| - |a + b| \geq 2\sqrt{2} - 1. \end{aligned}$$

Set $p^*(t) = \frac{\sqrt{2}}{2} + \frac{\sqrt{2}}{2}it, t \in Q$. Then $p^* \in \mathcal{P}_\Omega$ and $\|f - p^*\| = 2\sqrt{2} - 1$. Hence p^* is a best approximation to f from \mathcal{P}_Ω . Furthermore, $M(f - p^*) = \{1\}$ and $B(p^*) = \{-1, 1\}$. Hence $|M(f - p^*) \cup B(p^*)| = 2$, which implies that \mathcal{P}_Ω does not have Property $\underline{\mathcal{C}}$.

For the characterization of Property \mathcal{C} , we need to establish the following.

Proposition 3.1. *Suppose that \mathcal{P} is a Haar subspace. Then the following statements are equivalent:*

- (i) $\mathcal{P}_\Omega \neq \mathcal{P}$;
- (ii) *There exist $p^* \in \mathcal{P}_\Omega$ and $t_0 \in Q$ such that $p^*(t_0) \in \text{bd}\Omega_{t_0}$;*
- (iii) *There exists $t_1 \in Q$ such that $\Omega_{t_1} \neq \mathbb{C}$.*

Proof. (i) \implies (ii) Suppose that (i) holds and set $\mathcal{P}_{\text{int}\Omega} = \{p \in \mathcal{P} : p(t) \in \text{int}\Omega_t, \forall t \in Q\}$. Then $\mathcal{P}_{\text{int}\Omega}$ is a nonempty subset of \mathcal{P}_Ω since $\bar{p} \in \mathcal{P}_{\text{int}\Omega}$. We claim that $\mathcal{P}_{\text{int}\Omega}$ is an open subset of \mathcal{P} in the uniform norm. Indeed, let $p_0 \in \mathcal{P}_{\text{int}\Omega}$. Then p_0 is an interior point of \mathcal{P}_Ω in the sense of Definition 2.1; hence p_0 is a strong interior point of \mathcal{P}_Ω by Proposition 2.1. This means that there is $\delta > 0$ such that $\mathbf{B}(p_0(t), \delta) \subseteq \Omega_t$ for each $t \in Q$. Let $p \in \mathcal{P}$ with $\|p - p_0\| < \frac{\delta}{2}$. Then $|p(t) - p_0(t)| < \frac{\delta}{2}$; hence $\mathbf{B}(p(t), \frac{\delta}{2}) \subseteq \mathbf{B}(p_0(t), \delta) \subseteq \Omega_t, \forall t \in Q$.

It follows that $p(t) \in \text{int}\Omega_t$ for each $t \in Q$ and so $p \in \mathcal{P}_{\text{int}\Omega}$. Consequently, $\mathbf{B}(p_0, \frac{\delta}{2}) \subseteq \mathcal{P}_{\text{int}\Omega}$ and the claim stands. Since \mathcal{P}_Ω is a proper closed subset of \mathcal{P} , we get that $\mathcal{P}_{\text{int}\Omega} \neq \mathcal{P}_\Omega$. Pick $p^* \in \mathcal{P}_\Omega \setminus \mathcal{P}_{\text{int}\Omega}$. Then there exists $t_0 \in Q$ such that $p^*(t_0) \in \text{bd}\Omega_{t_0}$ by the definition of $\mathcal{P}_{\text{int}\Omega}$. Therefore, (ii) holds.

(ii) \implies (iii). It is trivial.

(iii) \implies (i). By the assumption, we may take $z_1 \in \mathbb{C} \setminus \Omega_{t_1}$. Since \mathcal{P} is a Haar subspace, there exists $p_1 \in \mathcal{P}$ such that $p_1(t_1) = z_1$. Hence $p_1 \notin \mathcal{P}_\Omega$ as $p_1(t_1) \notin \Omega_{t_1}$, that is, $\mathcal{P}_\Omega \neq \mathcal{P}$. The proof is complete.

The second result of this section is stated as follows:

Theorem 3.2. *\mathcal{P}_Ω has Property \mathcal{C} if and only if \mathcal{P} is a Haar subspace and $\mathcal{P}_\Omega = \mathcal{P}$.*

Proof. Suppose that \mathcal{P} is a Haar subspace and $\mathcal{P}_\Omega = \mathcal{P}$. Then by Proposition 3.1, $\Omega_t = \mathbb{C}$ for each $t \in Q$; hence $B(p^*) = \emptyset$ for each $p^* \in \mathcal{P}$. Therefore, \mathcal{P}_Ω has Property \mathcal{C} by Theorem 2.2.

Conversely, suppose that \mathcal{P}_Ω has Property \mathcal{C} . Then \mathcal{P} is a Haar subspace by Remark 3.2 (i) and Theorem 3.1. Thus, it remains to prove that $\mathcal{P}_\Omega = \mathcal{P}$. To do this, suppose on the contrary that $\mathcal{P}_\Omega \neq \mathcal{P}$. Then, by Proposition 3.1, there exist $p^* \in \mathcal{P}_\Omega$ and $t_0 \in Q$ such that $p^*(t_0) \in \text{bd}\Omega_{t_0}$, which implies that $N_{\Omega_{t_0}}(p^*(t_0)) \neq \{0\}$. Let $\tau \in N_{\Omega_{t_0}}(p^*(t_0)) \setminus \{0\}$. By the

Tietze Extension Theorem there exists a function $g \in C(Q)$ such that $g(t_0) = \tau$ and $|g(t)| \leq |\tau|$ for each $t \in Q$. Define $f \in C(Q)$ by $f = p^* + g$. Then $t_0 \in M(f - p^*)$. Noting that $p^*(t_0) \in \text{bd}\Omega_{t_0}$ and $\overline{\sigma(p^*, t_0)} = \frac{\tau}{|\tau|} \in N_{\Omega_{t_0}}(p^*(t_0))$, we have that $t_0 \in \tilde{M}(p^*)$. Hence p^* is a best approximation to f from \mathcal{P}_Ω by Theorem 2.2. Furthermore, let $A = B = \{t_0\}$. It is easy to see that (A, B) is an extremal bi-support with respect to (f, p^*) . This implies that \mathcal{P}_Ω does not have Property \mathcal{C} since $|A \cup B| = 1$, which is a contradiction. Thus $\mathcal{P}_\Omega = \mathcal{P}$.

4 The limit theory for uniqueness

We begin with the following definitions.

Definition 4.1. \mathcal{P}_Ω is said to have Property \mathcal{U} (resp. $\mathcal{U}_1, \mathcal{U}_2$) if, for each $f \in C(Q)$ (resp. $C_a^1(Q), C_a^2(Q)$), f has a unique best approximation from \mathcal{P}_Ω .

Definition 4.2. \mathcal{P}_Ω is said to have Property \mathcal{K} with respect to Q if, for any $p_1, p_2 \in \mathcal{P}_\Omega$ and $t_0 \in Q$, the condition $p_1(t_0) = p_2(t_0) \in \text{bd}\Omega_{t_0}$ implies that $p_1 = p_2$.

Remark 4.1. Property \mathcal{K} was first introduced by Shi^[9] in the case of real-valued continuous function approximations and by Smirnov and Smirnov^[4,5] in the case of complex-valued continuous function approximations.

The first theorem addresses Property \mathcal{U} , the proof of which is almost the same as that of Theorem 4.2 in [4] and so omitted here.

Theorem 4.1. \mathcal{P}_Ω has Property \mathcal{U} if and only if the following conditions hold:

- (i) \mathcal{P} is a Haar subspace;
- (ii) \mathcal{P}_Ω has Property \mathcal{K} with respect to Q .

The second theorem addresses Properties \mathcal{U}_1 and \mathcal{U}_2 . Let G be a subset of \mathbb{C} . Recall that G is said to be strictly convex if, for any distinct elements $g_1, g_2 \in G$, $(g_1 + g_2)/2 \in \text{int}G$. Note that the notion of the strict convexity plays a basic role in the study of the uniqueness of approximations of complex-valued continuous functions, see, for example [3–5].

Hypothesis 3. Ω_t is strictly convex for each $t \in Q$.

Theorem 4.2. Consider the following statements:

- (i) \mathcal{P} is a Haar subspace;
- (ii) \mathcal{P}_Ω has Property \mathcal{U}_1 ;
- (iii) \mathcal{P}_Ω has Property \mathcal{U}_2 .

Then (iii) \implies (ii) \implies (i). If, in addition, Hypothesis 3 is satisfied, then (i) \iff (ii) \iff (iii).

Proof. (iii) \implies (ii) This results from Proposition 2.2.

(ii) \implies (i). Suppose that (ii) holds but (i) does not. Then \mathcal{P} is not a Haar subspace. By Lemma 3.1, there exists $f \in C_a^1(Q) \setminus \mathcal{P}_\Omega$ such that f has at least two best approximations from \mathcal{P}_Ω , which contradicts (ii). Hence (ii) \implies (i) holds.

Finally, suppose that, in addition, Hypothesis 3 is satisfied. To complete the proof of the theorem, it suffices to prove (i) \implies (iii). To this end, suppose that (i) holds. Let $f \in C_a^2(Q) \setminus \mathcal{P}_\Omega$ and let p^* and q^* be the best approximations to f from \mathcal{P}_Ω . Set $r^* = \frac{1}{2}(p^* + q^*)$. Then r^* is a best approximation to f from \mathcal{P}_Ω . Using the standard technique, we get the following

inclusions:

$$M(f - r^*) \subseteq M(f - p^*) \cap M(f - q^*) \subseteq Z(p^* - q^*), \quad (4.1)$$

$$B(r^*) \subseteq B(p^*) \cap B(q^*), \quad (4.2)$$

where $Z(p)$ stands for the set of all zeros of p in Q . By Proposition 2.3 we have that $\tilde{M}(r^*) = \emptyset$. Thus, Theorem 2.2 implies that

$$|M(f - r^*) \cup B(r^*)| \geq n + 1. \quad (4.3)$$

Since each Ω_t is strictly convex, (4.2) yields that $B(r^*) \subseteq Z(p^* - q^*)$. Combining this and (4.1) gives that $M(f - r^*) \cup B(r^*) \subseteq Z(p^* - q^*)$. Hence, $|Z(p^* - q^*)| \geq n + 1$ due to (4.3) and so $p^* = q^*$ because \mathcal{P} is a Haar subspace. Thus \mathcal{P}_Ω has Property \mathcal{U}_2 and the proof of Theorem 4.2 is complete.

The following corollary, which was obtained in [2–5] respectively under some stronger conditions, is now an immediate consequence of Theorem 4.1.

Corollary 4.1. *Suppose that \mathcal{P} is a Haar subspace and that Hypothesis 3 is satisfied. Let $f \in C_a^2(Q)$ and let p^* be a best approximation to f from \mathcal{P}_Ω . Then p^* is the unique best approximation to f from \mathcal{P}_Ω provided that $\tilde{M}(p^*) = \emptyset$.*

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